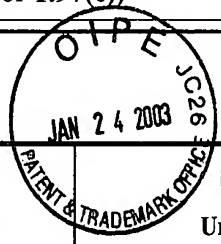


3762

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 15336	
In Re Application Of: Graeme M. Clark, et. al				
Serial No. 10/069,846	Filing Date February 28, 2002	Examiner Unassigned	Group Art Unit 3762	
Title: IMPROVED SOUND PROCESSOR FOR COCHLEAR IMPLANTS				
<b>RECEIVED</b> JAN 27 2003				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
TECHNOLOGY CENTER R3700				
<b>37 CFR 1.97(b)</b>				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
<b>37 CFR 1.97(c)</b>				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
<b>OR</b>				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				



*Handwritten notes:*  
LTP/16  
1-30-03  
#6/IDS

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15336

In Re Application: Graeme M. Clark, et. al

Serial No.  
10/069,846

Filing Date  
February 28, 2002

Examiner  
Unassigned

Group Art Unit  
3762

**IMPROVED SOUND PROCESSOR FOR COCHLEAR IMPLANTS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**RECEIVED**

**JAN 27 2003**

TECHNOLOGY CENTER R3700

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited  
1/21/03 with the U.S. Postal Service  
as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*William C. Roch*  
Signature

Dated: January 21, 2003

William C. Roch Reg. No. 24,972  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

CC:



PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Graeme M. Clark et al.

**Examiner:** Unassigned

**Serial No.:** 10/069,846

**Art Unit:** 3762

**Filed:** February 28, 2002

**Docket:** 15336

**For:** IMPROVED SOUND PROCESSOR  
FOR COCHLEAR IMPLANTS

**Dated:** January 21, 2003

Assistant Commissioner for Patents  
Washington, DC 20231

**RECEIVED**

**JAN 27 2003**

**INFORMATION DISCLOSURE STATEMENT**

TECHNOLOGY CENTER R3700

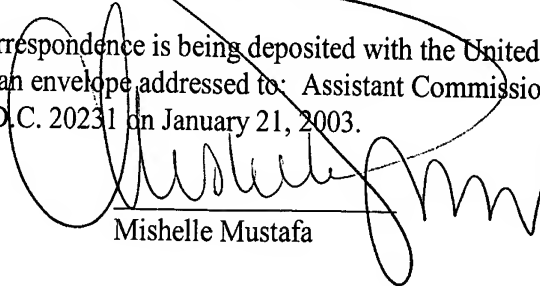
Sir:

In accordance with 37 C.F.R. §1.97 and 1.98, it is requested that the six (6) references, which are listed on the attached Form PTO-1449, be made of record in the above-identified case.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 21, 2003.

Dated : January 21, 2003

  
Mishelle Mustafa

The references were cited in a Search Report received from the European Patent Office, dated October 17, 2002. Applicants are submitting copies of the references.

The relevance of the references has been described in the Search Report.

Applicant states that each item of information contained in this information disclosure statement was first cited in a communication received from the European Patent Office less than three months from the filing of this Information Disclosure Statement.

Respectfully submitted,



William C. Roch  
Registration No. 24,972

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

WCR/mh

Enclosures PTO Form 1449 w/ 6 references

# INFORMATION DISCLOSURE CITATIONS

(Use several sheets if necessary)

Docket Number (Optional)

15336

Application Number

10/069,846

Applicant(s)

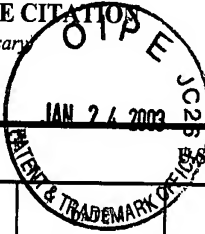
Graeme M. Clark, et. al

Filing Date

February 28, 2002

Group Art Unit

3762



## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,063,048	12/13/77	KISSIAH, JR.			
		5,601,617	02/11/97	LOEB ET AL.			
		4,284,856	08/18/81	HOCHMAIR ET AL.			
		5,597,380	01/28/97	MCDERMOTT ET AL.			
		5,800,475	09/01/98	JULES			

RECEIVED

JAN 27 2003

TECHNOLOGY CENTER HS/00

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

A MULTYPEAK FEATURE EXTRACTION CODING STRATEGY FOR A MULTI CHANNEL COCHLEAR IMPLANT,  
Dawn Burton Koch, PhD, et. al, 03/01/920, vol. 41, no. 3, pages 28-29 and 32.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.